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*Receipt.*  
*#6*  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

ARTHUR W. JOHNSTON ET AL. )

) Art Unit: 1724

Serial No. 09/768,115 )

) Examiner: Barry, Chester

Filed: January 23, 2001 )

For: MICROBIOLOGICAL WATER FILTER )

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**REQUEST FOR CORRECTED FILING DATE**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the filing date of the above-identified patent application be corrected in the U.S. Patent Office to January 23, 2001.

The above-identified patent application was mailed to the U.S. Patent Office via Express Mail on January 23, 2001. A copy of the mailing label indicating that date is enclosed. A copy of the return receipt postcard date stamped January 23, 2001 and with the application number is also enclosed.

The Notice of Incomplete Nonprovisional Application mailed May 15, 2001 indicated the correct filing date of January 23, 2001, copy enclosed.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 28, 2003.

Theodore M. Green - Reg. No. 41,801

A Request for Substitute Papers was mailed June 17, 2002 indicating a filing date of 01/01/0001. The first paragraph begins "The papers filed on "01/22/02", which appears to be the date the U.S. Patent Office received the Response to Notice of Incomplete Nonprovisional Application. A copy of the return receipt postcard dated stamped January 22, 2002 is also enclosed.

Page one of the Filing Receipt mailed July 17, 2002 is enclosed with the incorrect filing date of January 22, 2002 circled in red ink and the correct filing date of January 23, 2001 written in red ink.

The Patent Office is requested to issue an updated filing receipt indicating the correct filing date of January 23, 2001.

Respectfully submitted,



By: Theodore M. Green  
Reg. No. 41,801

KILPATRICK STOCKTON LLP  
1100 Peachtree Street  
Suite 2800  
Atlanta, Georgia 30309-4530  
(404) 815-6500  
Our Docket: 38830-253270



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/768,115	01/22/2002	1723	370	38830/253270	1	2	1

01/23/2001

CONFIRMATION NO. 9625

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

## FILING RECEIPT



\*OC000000008461802\*

Date Mailed: 07/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Arthur W. Johnston, Atlanta, GA;  
Arthur F. Johnston, Atlanta, GA;  
Frank A. Williams, Newnan, GA;  
Kenneth D. Hughes, Alpharetta, GA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/382,278 08/25/1999 PAT 6,187,192

## Foreign Applications

If Required, Foreign Filing License Granted 07/16/2002

Projected Publication Date: 10/24/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

ENTERED COMPUTER  
ON 7/25/02  
253270  
MG



\*EL670010010US\*

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Employee Signature: **W. Anderson**

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Federal Agency Acct. No. or Postal Service Acct. No.

**FROM: (PLEASE PRINT)** **PHONE**

**KILPATRICK STOCKTON LLP**  
**1100 PEACHTREE ST NE STE 3000**  
**ATLANTA GA 30309**

**38830/193286CONT.**  
**Bruce D. Gray, Esq.**

**TO: (PLEASE PRINT)** **PHONE**

**Box PATENT APPLICATION**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE**

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Label 11-F July 1997

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**174**

**50**





The "Received" stamp of the Patent Office imprinted hereon will acknowledge receipt of:

Applicants: Arthur W. Johnston et al.  
Continuation of  
Application No. 09/382,278 Docket No.: 38830/193286CONT.  
Filing Date

**PAPERS SUBMITTED:**

1. Utility Patent Application Transmittal Letter via Express Mail (Label No. EL670010010US)
2. copy of prior application entitled **Microbiological Water Filter**; consisting of 35 pages description; 9 pages claims (numbered 1-62); 1 page abstract; and an executed Declaration.
3. Preliminary Amendment
4. Information Disclosure Statement and 1 sheet Form PTO 1449 (listing 25 references)
5. check in the amount of \$355.00

By: Bruce D. Gray, Reg. No. 35,799

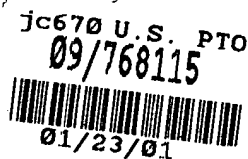
The "Received" stamp of the Patent Office imprinted hereon will acknowledge receipt of:

Applicants: Arthur W. Johnston et al.  
Continuation of  
Application No. 09/382,278 Docket No.: 38830/193286CONT.  
Filing Date

**PAPERS SUBMITTED:**

1. Utility Patent Application Transmittal Letter via Express Mail (Label No. EL670010010US)
2. copy of prior application entitled **Microbiological Water Filter**; consisting of 35 pages description; 9 pages claims (numbered 1-62); 1 page abstract; and an executed Declaration.
3. Preliminary Amendment
4. Information Disclosure Statement and 1 sheet Form PTO 1449 (listing 25 references)
5. check in the amount of \$355.00

By: Bruce D. Gray, Reg. No. 35,799  
Date: January 23, 2001



193286 ME

Entered Computer

1/24/01  
193286A



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/768,115	01/23/2001	Arthur W. Johnston	38830/193296CONT.

CONFIRMATION NO. 9625

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

## FORMALITIES LETTER



\*OC000000006075778\*

Date Mailed: 05/15/2001

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

*A copy of this notice **MUST** be returned with the reply.*

ER  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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7/15/01 CR  
253270

# Watervisions

The "Received" stamp of the Patent Office imprinted hereon will acknowledge receipt of:

Applicant:	Arthur W. Johnston <i>et al.</i>	
Application No.	09/768,115	Docket No.:
Filing Date	January 23, 2001	38830/253270

**PAPERS SUBMITTED:**

1. Submission of Formal Drawings
2. 1 sheet formal drawings
3. copy of Notice of Incomplete Application
4. Certificate of Mailing under 37 CFR 1.8(a)

By: Theodore M. Green, Reg. No. 41,801  
Date: November 15, 2001





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/768,115	01/01/0001	Johnston, Arthur	38830/193296CONT.

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA GA 30309

Title: Microbiological water filter

Date Mailed: 06/17/2002

## Request for Substitute Papers

The papers filed on 01/22/02 (certificate of mailing dated 11/15/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

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The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Theodore M. Green Registration No.: 41,801

Signature: Theodore M. Green Date: 6/24/02

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6/24/02  
6/21/02 *NG*  
253270